



**ANJANEY STOCK BROKING LIMITED**

**MEMBER: NSE, BSE**

**DP: NSDL**

**SEBI SINGLE REG NO. INZ000263532**

**DP SEBI REG NO. IN-DP-303-2016**

**TM ID : NSE-12417; BSE-6224**

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**POLICY ON IDENTIFICATION OF CRITICAL ASSETS BASED ON SENSITIVITY**

<b>POLICY CREATED BY</b>	<b>MR. PRAMOD KUMAR SANGANERIA</b>
<b>POLICY REVIEWED BY</b>	<b>TECHNOLOGY COMMITTEE</b>
<b>POLICY REVIEWED ON</b>	<b>30-12-2023</b>
<b>POLICY APPROVED BY</b>	<b>BOARD OF DIRECTORS</b>
<b>POLICY APPROVED ON</b>	<b>05-01-2024</b>

**VERSION – 2.0**

**[30 DECEMBER 2023]**

## **Purpose**

The purpose of this Critical Asset Identification Policy is to establish guidelines and procedures for the identification and classification of critical assets based on sensitivity at [Your Company Name], a stock brokerage firm. This policy aims to ensure the prioritized protection and security of assets crucial to the firm's operations, compliance, and client trust.

## **Scope**

This policy applies to all employees, contractors, third-party vendors, and any other individuals involved in the identification and classification of critical assets within the stock brokerage firm.

## **Policy Guidelines**

### **Asset Identification Criteria**

- Assets will be identified based on their significance to the firm's operations, regulatory compliance, and client services.
- Criteria for identification include financial impact, legal requirements, operational dependence, and potential harm in case of compromise.

### **Data Sensitivity Classification**

- Data will be classified based on its sensitivity and importance to the business.
- Each classification level will determine the security controls and access restrictions for the identified critical assets.

### **Identification Process**

- A systematic process will be established to identify critical assets, involving collaboration between business units, IT, security, and compliance teams.
- The identification process will be periodic and reactive to changes in the business environment.

### **Asset Inventory**

- A comprehensive inventory of critical assets will be maintained, including but not limited to financial data, client information, trading platforms, and communication systems.
- The inventory will include details such as asset type, classification, owner, and associated risks.

### **Access Control**

- Access to critical assets will be restricted based on their sensitivity classification.
- Access permissions will be regularly reviewed and adjusted as necessary.

### **Data Encryption**

- Encryption will be applied to critical data assets, both in transit and at rest.
- Encryption protocols will align with industry standards and regulatory requirements.

### **Physical Security Measures**

- Critical physical assets, such as servers and communication infrastructure, will be housed in secure locations with access controls and monitoring.
- Adequate measures will be taken to protect against physical threats.

### **Incident Response Plan for Critical Assets**

- An incident response plan specifically addressing critical assets will be established to ensure a swift and effective response in case of security incidents.
- Regular testing and updates of the incident response plan will be conducted.

## **Compliance and Legal Considerations**

### **Regulatory Compliance**

- The asset identification and protection processes will comply with relevant financial regulations and industry standards.
- Regular audits will be conducted to verify compliance.

### **Review and Update**

This policy will be reviewed regularly and updated as necessary to address changes in the business environment, regulatory requirements, and emerging security threats.

## **Employee Responsibilities**

Employees involved in the identification and management of critical assets are responsible for adhering to this policy and promptly reporting any concerns or incidents.

## **Training and Awareness**

- Employees will undergo training on critical asset identification, classification, and protection.
- Awareness campaigns will be conducted to foster a culture of responsibility and security.

**Change in the Policy will be adopted as and when required by the company and is binding on all the Staff/Employees/and Directors of the Company.**

**For M/s. ANJANEY STOCK BROKING LIMITED**



**Mr. Pramod Kumar Sangneria**

**(Designated Officer)**

**Date: 05-01-2024**